

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

20/089596

2136/0k388

INTERNATIONAL APPLICATION NO.

PCT/ES00/00364

INTERNATIONAL FILING DATE

28 September 2000

PRIORITY DATE CLAIMED

01 October 1999

TITLE OF INVENTION

## IMPROVEMENTS IN THE ASSEMBLIES OF TEETH OF EARTH MOVING MACHINES

APPLICANT(S) FOR DO/EO/US

Javier Puevo MOLINA, Jorge Pallas MORENO, Nil Vallve I BERTRAN and Rafael Ferrer RUIZ

Applicant herewith submits to the United States Designated/Elected office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S. C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S. C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S. C. 371 (c) (2) )
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S. C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c) (3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (executed).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (with references).
12. ☒ An assignment document for recording. A **separate** cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney an/or address letter.
16. ☐ Other items or information:

## EXPRESS MAIL CERTIFICATE

6/24/02 6/24/02 15764532-US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Name (Print)

Signature

U.S. APPLICATION NO. (if known sec 37 C.F.R.1.50): 10/089,596	INTERNATIONAL APPLICATION NO.: PCT/ES00/00364	Attorney's Docket Number 2136/OK388
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17. [x] The following fees are submitted:	CALCULATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492 (a)(1)-(5)): Search Report has been prepared by the EPO [X] or JPO [ ]	\$890.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) .....	\$710.00	
No international preliminary examination fee paid to USPTO(37 CFR 4.482) but international search fee paid to USPTO (37 CFR 1.445 (a) (2))...	\$740.00	
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....	\$1,040.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)....	\$100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ .00

Surcharge of \$130.00 for furnishing the oath or declaration later than [ ]20 [ ]30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$130.00	
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Claims	Number Filed	Number Extra	Rate		
Total Claims	11-20	0	0 X \$18.00	\$00	
Independent Claims	1-3	0	0 X \$84.00	\$ .00	

Multiple dependent claims(s) (if applicable)	+ 280	\$	
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TOTAL OF ABOVE CALCULATIONS =	\$130.00	
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Reduction by 1/2 for filing by small entity, if applicable.	\$65.00	
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SUBTOTAL =	\$55.00	
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Processing fee of \$130.00 for furnishing the English translation later the [ ] 20 [ ] 39 months from the earliest claimed priority date (37 CFR 1.492(f)).	+	\$	
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TOTAL NATIONAL FEE =	\$ .00	
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). the assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	+	\$40.00	
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TOTAL FEES ENCLOSED =	\$105.00	
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07/03/2002 MKAYPAGH 00000075 10089596	Amount to be: refunded	\$
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01 FC:254 65.00 UP	charged:	\$
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- a. [X] A check in the amount of \$105.00 to cover the above fees is enclosed.
- b. [ ] Please charge my Deposit Account No.04-0100 in the amount of \$ to cover the above fees.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Paul Fields, Esq.  
Darby & Darby P.C.  
805 Third Avenue  
New York, New York 10022-7513

SIGNATURE 

NAME Paul Fields

REGISTRATION NO. 20,298

PF



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/089,596	Javier Puevo Molina	2136OK388

INTERNATIONAL APPLICATION NO.	
PCT/ES00/00364	
I.A. FILING DATE	PRIORITY DATE
09/28/2000	10/01/1999

 Paul Fields  
 Darby & Darby  
 805 Third Avenue  
 New York, NY 10022-7513

 DUE: July 29, 2002  
 Docketed on 6/5 by DP for
Docketed without file ☐Attorney [Signature]

CONFIRMATION NO. 2493

371 FORMALITIES LETTER



\*OC000000008190229\*

Date Mailed: 05/29/2002

12-29-02

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English.
- Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 30 months from the priority date (37 CFR 1.492(f)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.